

**United States District Court**  
For the Northern District of California

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4 IN THE UNITED STATES DISTRICT COURT

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8 FOR THE NORTHERN DISTRICT OF CALIFORNIA

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10 BERNARD PARRISH, BOB GRANT, ROY  
11 LEE JEFFERSON, WALTER BEACH, DR.  
CLINTON JONES, WALTER ROBERTS, III,  
CLIFTON MCNEIL, MARVIN COBB, JOHN  
BRODIE, CHUCK BEDNARIK, AND PAUL  
HORNUNG on behalf of themselves and all  
others similarly situated,

12 Plaintiffs,

13 v.

14 MANATT, PHELPS & PHILLIPS, LLP, and  
15 MCKOOL SMITH, PC,

16 Defendants.

17 No. C 10-03200 WHA

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**ORDER CERTIFYING  
SETTLEMENT CLASS;  
SETTING FINAL FAIRNESS  
HEARING DATE; AUTHORIZING  
DISTRIBUTION OF NOTICE**

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**INTRODUCTION**

The Court has reviewed the proposed class settlement and counsel's motion and exhibits and hereby directs notice be given to class members, so that a final fairness hearing can be held and a determination made as to whether to approve the proposed settlement and how much to award class counsel for fees and costs. A fairness hearing will be held at **3:00 P.M. ON MAY 30, 2013**, in Courtroom 8, on the 19th Floor, United States Courthouse, 450 Golden Gate Avenue, San Francisco, California 94102.

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**STATEMENT**

This action is a follow-on litigation to a prior class action, *Adderley v. National Football League Players Inc.*, No. 07-00943-WHA. *Adderley* was tried to a jury verdict and settled while on appeal. The plaintiffs in the *Adderley* action filed this action on July 21, 2010, alleging that

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1 defendant law firms Manatt, Phelps & Phillips, LLP and McKool Smith, P.C. had committed  
2 malpractice in their representation of the plaintiffs in *Adderley*.

3 By order dated December 13, 2010, the complaint herein was dismissed (Dkt. No. 76).  
4 Plaintiffs appealed to the United States Court of Appeals for the Ninth Circuit. While the action  
5 was on appeal, the parties engaged in negotiations, assisted by Magistrate Judge Joseph Spero.  
6 The parties have now filed a motion for preliminary approval of the settlement agreement. Key  
7 provisions of the settlement agreement relevant to the proposed class are as follows:

8 *First*, defendants have deposited \$3,500,000 into an escrow account to be used as the net  
9 settlement fund. All costs, fees, and expenses will be paid from this settlement fund, including  
10 any attorney's fees and settlement administration costs.

11 *Second*, after deducting attorney's fees and costs and administration costs, the settlement  
12 fund will be distributed based on a per-year basis (for each year between 2003–2006) in the same  
13 percentage as ordered and approved in the *Adderley* settlement.

14 *Third*, Blecher & Collins, P.C. will seek reimbursement of costs and expenses in the  
15 amount of approximately \$100,000 and for attorney's fees in an amount equal to 25% of the  
16 remaining settlement fund after deduction of any costs and expenses awarded by the Court.

17 *Fourth*, the release would apply to each class member who does not timely opt out, and  
18 will release defendants from "all claims and causes of action . . . that arise out of the facts,  
19 occurrences, transactions, or other matters that were alleged in, are the subject of or relate to the  
20 Present Action" as set forth in paragraph six of the settlement agreement.

21 Defendants have filed a joint statement of non-opposition to plaintiffs' motion.

## 22 ANALYSIS

### 23 1. Certification of Settlement Class.

24 Plaintiff seeks to certify a class under Rule 23(a) and (b)(3) for settlement purposes only,  
25 which defendant does not oppose. In determining whether the proposed class satisfies the  
26 requirements of Rule 23, the proposed settlement may be considered as "a factor in the calculus."  
27 *Amchem Products, Inc. v. Windsor*, 521 U.S. 591, 622 (1997). The proposed class must satisfy  
28 the requirements under Rule 23(a) of numerosity, commonality, typicality, and adequacy of

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1 representation. Next, plaintiffs must demonstrate that common questions of law or fact  
2 predominate, and that a class action is superior to other available methods for fairly and  
3 efficiently adjudicating the controversy. *See Rule 23(b)(3).*

4 The proposed settlement class is defined as:

5 All persons who were members of the certified class in the action styled  
6 *Adderley v. National Football League Players Incorporated, et al.*, No.  
7 C 07-00943 WHA and who did not opt out of that class, including each  
8 of the named Plaintiffs. The proposed Settlement Class shall also  
9 include all persons who, by operation of law or otherwise, including,  
but not limited to, by assignment, rights of survivorship, marriage,  
domestic partnership, devise, agency, affiliation, or subrogation, own or  
have the right to enforce any portion of any of the claims brought in the  
present action by or on behalf of any person in the Settlement Class.

10 Plaintiffs state that the proposed class consists of 2,062 former National Football League players  
11 who were previously certified as a class in the *Adderley* action. Common questions of law or fact  
12 predominate, as plaintiffs assert claims for relief regarding defendants' legal representation in the  
13 *Adderley* action of essentially the same class of individuals for whom class certification is now  
14 sought. Similarly, the claims of the named plaintiffs are typical of the claims or defenses of the  
15 class, as they arise from claims based on defendants' legal representation of the *Adderley* class.

16 Turning to the adequacy requirement, this prong is designed to protect the interests of  
17 absentee class members based on two questions: "(1) Do the representative plaintiffs and their  
18 counsel have any conflicts of interest with other class members, and (2) will the representative  
19 plaintiffs and their counsel prosecute the action vigorously on behalf of the class?" *Staton v.*  
20 *Boeing Co.*, 327 F.3d 938, 957 (9th Cir. 2003). As to the first question, the named plaintiffs  
21 allege the same claims and seek the same relief for themselves and the proposed class. While the  
22 Court has discretion to award a small incentive payment to the named plaintiffs to reasonably  
23 compensate them for time spent litigating this action on behalf of the class, the settlement  
24 agreement is not contingent on any such award. Regarding the second question, counsel from the  
25 law firm Blecher & Collins, P.C. are experienced class action counsel (Blecher Decl. ¶¶ 2, 6).  
26 Furthermore, counsel and the named plaintiffs have thus far doggedly prosecuted the action on  
27 behalf of the class, including pursuing an appeal from an order dismissing the complaint.

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As discussed above, common questions of law and fact predominate. Furthermore, prosecuting this case as a class action is a superior method, where the claims of each individual class member are the same, the potential value of each individual's claim is not substantially large, and there are over 2,000 potential class members. The class is defined to cover the same individuals as the *Adderley* class, such that membership in the class can be readily determined.

6 This order notes that these findings are only in the context of class certification for  
7 purposes of settlement, which defendants do not oppose. For the reasons discussed above, this  
8 order finds that class certification for settlement purposes is appropriate.

## 2. Release.

10 The release applies only to defendants (and their partners, associates, employees, agents,  
11 insurers, attorneys, etc.). Class members who did not timely opt out would thus only release  
12 claims against the law firm defendants related to the current action. This release is specifically  
13 and narrowly directed at defendants and their actions in connection with representation of the  
14 certified class in *Adderley*.

### **3. Form of Notice.**

16 Notice must be mailed to class members by **FEBRUARY 15, 2013**. The proposed notice  
17 form (Dkt. No. 112 Exh. 6) should be revised as follows:

- After the title “Notice of Proposed Class Action Settlement,” the following text should be added:

**YOUR CLAIM FOR MALPRACTICE AGAINST THE  
LAW FIRMS MANATT, PHELPS & PHILLIPS, LLP  
AND MCKOOL SMITH, PC, WILL SOON BE  
EXTINGUISHED IN FAVOR OF A SETTLEMENT.**

This notice has been authorized by the United States District Court to explain the situation to you and to explain your options to either accept the settlement (and give up your own right to sue) versus to opt out of the settlement (and retain your right to sue). You must act promptly if you wish to opt out of the settlement, as explained below.

- A sentence should be added at the end of the first paragraph under section “Status of the Litigation” to make clear that the plaintiffs’ appeal has not yet been decided.

- 1           • In Section III, which recites the class definition, the notice should be revised to  
2           add the class definition of the class members bound by the *Adderley* settlement.  
3           • The section regarding the distribution plan should reference the proposed plan of  
4           distribution, and the notice packets mailed to class members should include a copy  
5           of the proposed plan. An acceptable revision would be along the lines of the  
6           following (new language in italics):

7           *The Proposed Plan of Distribution is attached to this notice  
8           as Exhibit A, and can also be found at  
9           www.retiredplayerclassaction.com. Pursuant to the terms  
10          of the proposed Distribution Plan, the amount that you, as a  
11          Class Member, may receive depends upon factors including  
12          the number of years that you participated in the NFLPA's  
13          GLA program, which years you participated, and the  
14          amount of fees, expenses, and costs approved by the Court.  
15          This is the same method of distribution that was ordered by  
16          the Court in the Adderley Action. The more years that a  
17          Class Member had a GLA in effect during the relevant time  
18          period, the more that the Class Member would receive  
19          under the proposed settlement and Distribution Plan. For  
20          example, the maximum amount a Class Member may receive  
21          under the Distribution Plan is approximately \$1,785,  
22          assuming that the Class Member had a GLA in effect for  
23          each of the years 2003, 2004, 2005, and 2006 and the Court  
24          approves the proposed settlement and the award of  
25          attorneys fees and costs requested by Class Counsel. The  
26          minimum amount a Class Member may receive under the  
27          Distribution Plan is approximately \$258, assuming that the  
28          Class Member had a GLA in effect only for 2003. The  
            distribution will take place after the: (1) final approval of the  
            settlement by the Court and the expiration of any period for  
            further review or appeal of the Court's order of approval or  
            the resolution of any such review or appeal; and (2)  
            approval by the Court of the Claims Administrator's  
            recommendations as to the amounts to be paid to Class  
            members.*

22           **5. Deadline to Object.**

23           Class members may object to any part of any settlement. All objections must be made in  
24           writing and mailed to the address stated in the notice. The objections must be postmarked on or  
25           before **11:59 P.M. ON APRIL 15, 2013**. Class members who mail in written objections will also  
26           have an opportunity to speak at the fairness hearing and raise their objection. If the parties seek  
27           to file responses to any objections received, they must do so by **APRIL 29, 2013**.

1           **6.       Deadline to Opt-Out.**

2           Class members who wish to exclude themselves from the settlement must do so in writing  
3 by submitting a signed and dated opt-out request to the address set forth in the notice. The  
4 opt-out statement must be postmarked on or before **11:59 P.M. ON APRIL 15, 2013.**

5           **7.       Motion for Attorney's Fees and Motion for Final Approval.**

6           Counsel must file their motion for attorney's fees and costs by **MARCH 1, 2013.** A copy  
7 of the motion and exhibits should be made available on the [www.retiredplayerclassaction.com](http://www.retiredplayerclassaction.com)  
8 website. The motion for attorney's fees will be heard at **3:00 P.M. ON MAY 30,** the same day as  
9 the final fairness hearing. The motion for final approval of the settlement must be filed by **MAY**  
10 **6, 2013.**

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12           **IT IS SO ORDERED.**

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14           Dated: January 31, 2013.

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17           WILLIAM ALSUP  
18           UNITED STATES DISTRICT JUDGE